

FINHAM PARK MULTI ACADEMY TRUST



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FPMAT INFORMATION SECURITY POLICY



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1. Scope

This Policy applies to:

- All members of staff, governors and trustees; “Staff” includes all employees, locum staff, volunteers, work experience and any other individuals working for Finham Park Multi Academy Trust on a contractual basis.

The Importance of this Policy:

- This Information Security Policy lets you know what your Information Security responsibilities are at Finham Park Multi Academy Trust; everyone has a role to play and it’s vital you understand yours.

The Objective of this Policy is to:

- Inform staff, governors and trustees and protect Finham Park Multi Academy Trust from security issues that might have an adverse impact on our organisation. Achieving this objective will rely on all staff, governors and trustees of Finham Park Multi Academy Trust complying with this policy.

2. Key Principles

The Finham Park Multi Academy Trust has adopted the following six principles to underpin its Information Security Policy:

All Personal data shall be:

- (1) processed lawfully, fairly and in a transparent manner ('lawfulness, fairness and transparency');
- (2) used for specified, explicit and legitimate purposes ('purpose limitation');
- (3) used in a way that is adequate, relevant and limited to what is necessary ('data minimisation');
- (4) accurate and, where necessary, kept up to date; every reasonable step must be taken to ensure that personal data that are inaccurate, are erased or rectified without delay ('accuracy');
- (5) kept no longer than is necessary ('storage limitation');
- (6) processed in a manner that ensures it is safe and secure, ensuring that measures against unauthorised or unlawful processing and against accidental loss, destruction or damage are in place ('integrity and confidentiality').

3. Creating, storing and managing information

Finham Park Multi Academy Trust has adopted both a Clear Desk and Clear Screen Policy to reduce the risk of unauthorised access, loss of, and damage to information during and outside normal working hours or when work areas and computers are unattended.



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The purpose of this section is to establish Finham Park Multi Academy Trust's requirements to ensure that information is not disclosed by being made available in any form to unauthorised individuals.

3.1 Paper information

- Keep clear desks as this is an obvious way of preventing any confidentiality problems arising from having pupils or other staff members at desks, or disclosure when desks are left unattended. A clear desk will help to protect against the disclosure of information.
- Confidential documents must not be left on display or unsupervised.
- Store confidential information in locked cabinets, returning them to these cabinets when not required.
- Take measures to prevent accidental damage to important documents, for example, through the spillage of liquids.
- Do not leave paper by printers or photocopiers where other people may take it or read it accidentally.
- Spoiled photocopies and prints may still be confidential. Do not put them straight into the waste paper bin, dispose of them as confidential waste. Always check that originals have been removed from the device as well as copies.
- Dispose of confidential paper by shredding or put in a confidential waste bag and follow confidential waste disposal procedure. Do not dispose of confidential waste in a waste paper bin or anywhere else.
- Destroying information earlier than necessary may be a breach of the law so it is important that retention periods are checked before destroying any records.

3.2 Electronic information

- All confidential information must be stored on Finham Park Multi Academy Trust approved electronic devices or systems with access controlled/restricted, e.g. the Finham Park Multi Academy Trust network, Onedrive, Sharepoint, Google drive with appropriate restricted access, Finham Park Multi Academy Trust approved systems.
- Confidential information must not be stored on local unencrypted hard drives.
- If confidential information has to be transferred to other portable media, such as USB stick or memory cards, it must be encrypted with appropriate security software approved by Finham Park Multi Academy Trust.
- PC screens/laptops/tablets must be sited away from public areas so that pupils and visitors cannot read the screens, e.g. through windows or while waiting in public areas.
- Notebook PCs, handhelds or any other portable ICT device must not be left unattended in any public area (see Mobile Computing below).



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- Individual user id/passwords must not be shared with anyone, including other staff members and governors, and do not use anyone else's password. You as an individual are responsible for all transactions undertaken on the Finham Park Multi Academy Trust network using your network id.
- Multi-Factor Authentication is used as standard practice across the trust in order to assist with protecting your account and school information. Do not 'approve' access via the authenticator app if you are NOT attempting to login. This could mean your password has been compromised and you should contact IT Support straight away
- Passwords must not be written down and left with any equipment or accessible by anyone else.
- Make passwords hard for anyone else to guess by incorporating numbers and mixed case into it. Some systems will force this already.
- Lock screens whenever leaving any ICT equipment unattended. This will prevent anyone accessing any restricted information on the equipment while it is unattended. If you see a computer that
- Disconnect any projectors or TV attached to your laptop when working with sensitive data, to prevent private or confidential information being shared accidentally
- If you find you have access to confidential information that you believe should be restricted, you should notify [Insert the name/title of whom should be contacted] immediately.

4. Receiving, sending and sharing information

4.1 Post – receiving and sending

- Post should be opened and dealt with away from public areas and securely, if dealing with confidential information. Do not leave unsealed confidential documents in open post trays and 'pigeon holes'.
- Staff must ensure that any mail to an individual marked: Private, Confidential or Personal, or any combination, is only passed to the named recipient unless a prior delegation arrangement has been made.
- If outgoing post contains confidential information to an individual, the envelope should be marked as 'Private and confidential' and 'to be opened by addressee only'. A return address must be shown on the envelope and you should consider double bagging the package.
- Print each letter separately making use of any printing security and use window envelopes. Check the address is the current, correct one – don't copy previous letters. Double check that the letter and papers are for the correct recipient and address.
- When using a mailshot or multiple mailings, have a procedure in place to check you haven't included anyone else's personal information in the wrong envelope. Another person or supervisor should check mailings against address lists and signoff before dispatch.



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- Consider using signed for/tracked post, if it contains sensitive or confidential documents and/or the volume justifies secure delivery.
- Post containing very high risk/Confidential-Restricted information should only be sent to a named person and use of tracked and signed for mail or a courier to deliver to the name person with signature of receipt.
- If post goes astray or is issued to the incorrect address, notify your line manager immediately and if the information contains personal or confidential information report using the security incident procedure.

4.2 Email and Other Electronic Communications

(e.g. text messages) – receiving and sending

- Finham Park Multi Academy Trust does not have total control over emails received, so staff must be aware of the dangers of opening messages from unknown or untrusted sources. Do not click on links in emails unless you know they are from a trusted source and never provide passwords in response to email requests.
- If you are not the intended recipient, the sender should be informed that the message has not reached its intended destination and has been deleted.
- Check the email address is the correct one – there are staff with similar names and your email contacts will also have external email contacts. Double check that the email is for the correct recipient before sending.
- If sending to a list/group of parents or others, send using ‘blind copy’ (bcc) so the recipients are not copied in to a large list. This especially applies to mailshots.
- Confidential and Confidential-Restricted information must not be emailed externally using normal email
 - a) you must include the word ‘encrypt’ within the subject line,
 - b) Always password protect any included attachments where personal or sensitive data is included
 - c) Internally when you are sending to an approved Finham Park Multi Academy Trust email address, email is automatically de-encrypted internally when ‘encrypt is used in the subject line is used , or
- Records of personal data sent by email or other electronic communications (internal or external) are accessible to the data subject if they request access under the UK GDPR. If a permanent record is required they should be saved to the appropriate file and the email removed from the email inbox. Do not use personal email as a permanent filing system for pupil, parent or staff records. When a member of staff leaves or moves to another job, the line manager must go through the Leavers Checklist and save and secure any emails needed to be kept as Finham Park Multi Academy Trust records.



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- Finham Park Multi Academy Trust confidential emails must not be forwarded to your own personal email account for private use.

4.3 Telephone calls

- Ensure that you are talking to who you think you are speaking with by verifying their details. It may be appropriate to call them back to verify their credentials.
- If it becomes necessary to leave the phone for any reason, put the caller on hold so that they cannot hear other potentially confidential conversations that may be going on in the office.
- If the call received or being made is of a confidential or sensitive nature, consider who else may be listening to the conversation.
- If a message needs to be taken and left on someone's desk, ensure that these messages do not themselves contain confidential information.

4.4 Conversations

Staff should remember that even though they may be on Finham Park Multi Academy Trust premises there may be pupils and visitors around.

- When having a meeting or interview with someone where confidential information will be discussed, ensure that there is sufficient privacy. Check that the room is suitable.
- Confidential information should only be discussed with colleagues who need to know the information in order to carry out their job.
- Always consider your surroundings and the proximity of others who may be able to hear in public places.

4.5 Information sharing/processing

When confidential or personal data is shared with other agencies, for example with local authorities or external providers, then arrangements must be made for that information sharing to be done in a controlled way that meets ethical and legal obligations in one of two ways:

1. If a service is commissioned with an external provider that needs confidential information to operate then the contract must contain clauses that list the commissioned organisation's responsibilities for confidential and personal data, including data protection and security. This must include whether the organisation is processing personal data on behalf of Finham Park Multi Academy Trust or has sole or joint responsibilities for the personal data with Finham Park Multi Academy Trust. All staff involved in such data commissioning/sharing must be aware of the details of any existing information sharing agreements/contractual agreements and the obligations that it places on them. Where it may be a new IT system a school wishes to commit it, you should always contact MAT IT Support prior to agreeing to share any data first, so that necessary checks can be completed on behalf of the trust.



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2. If information has to be shared with another organisation on a regular basis for legal reasons then this should be done under an information sharing agreement that sets out how the sharing will operate and the standards of management that all parties to the agreement must comply with. Such an agreement will define exactly what information will be shared and how, including the method, transmission or communication between agencies or any shared access security arrangements. The aim is to ensure that appropriate arrangements operate in the participant agencies and ensure the continued confidentiality of shared information. If staff are unclear on what basis information is being shared with another agency, whether an information agreement exists and what obligations that might place on them, it should be clarified with their manager.

5. Working Away from School

The purpose of this section is to ensure that information assets and information processing facilities, used to access personal and confidential information, are adequately protected with logical, physical and environmental controls.

This includes working away from the school, at home and use of own devices to access personal and confidential information.

Work-related information must not be kept permanently at home. Wherever staff are working on, or in possession of, work-related information they are responsible for it, e.g. in school, on the phone, at home, en route to or from school or home, at meetings, conferences, etc. If confidential information is handed out in conferences or meetings, the same person is responsible for collecting it back in at the end, or ensuring it is only in the hands of those authorised to keep it.

- Take only the confidential papers/files with you that you need and keep out of sight in a bag, do not carry around loose or in clear folder.
- Managers must ensure a log is kept of which confidential paper case files/records staff are taking from school and when they are returned.
- Store confidential paper files/records securely in an envelope or bag. Try to use electronic files on an encrypted device or access via secure connection to the network or approved storage location instead.
- Keeping information in cars: lock away paper files and equipment (laptop/notebook) in the boot, do not leave overnight. Take only the equipment/papers/files with you that you need, leave rest locked away.
- Travelling by public transport: make sure you take all information and equipment when leaving. Be aware of conversations on mobile phone about personal and confidential information.
- Use of Laptops: Only school issued devices may be used. Do not write down passwords/pin numbers. You must not use the 'remember me' option to save user and password details on your device when accessing Finham Park Multi Academy Trust system. Make sure these are unticked and sign out/logout after using a system. Do not save login or passwords if asked. Remember any confidential files opened may be downloaded before closing down your device, so delete them from 'downloads'. If files are



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not accessed directly (e.g. Google drive format files), then all confidential files must be stored and accessed locally via a Finham Park Multi Academy Trust approved encrypted media.

- Working at home: Store paper and equipment securely after use, as you would your own personal valuables. Don't leave open confidential files on a table. Lock screen on laptop/tablet and close down after use. All confidential information must be safeguarded from access, no matter how unintentional, by anyone who has no need to know such as family and friends. This would be an unauthorised disclosure. Don't leave any Finham Park Multi Academy Trust equipment or information in a car overnight at home, bring into the house and secure. Don't bin confidential information at home, bring back into an office for confidential waste disposal. Use strong security on a home WiFi connection.

6. Premises security

- All staff must wear their ID badge on school premises and report losses or thefts immediately to their line manager.
- Make sure that all visitors sign in and out at all times and disclose who they are coming to see. Visitors should be supervised at all times and display a visitor/contractor ID badge.
- Staff should be encouraged to challenge anyone in the school if they do not know who they are, e.g. if they are not accompanied by a member of staff or they are not wearing an ID badge.
- Staff should be aware of anyone they do not know attempting to follow them through a security door and if appropriate be prepared to escort them back to reception if necessary.
- Managers should ensure that all paper-based records and any records held on computers are adequately protected. Risk assessments should identify any potential threats and an appropriate risk management strategy should be produced
- Parents and others who do not want to discuss their private matters with a receptionist in a public area should be offered the opportunity to be seen elsewhere.

7. Portable Media Devices

The purpose of this section is to establish control requirements for the use of removable media devices within and across Finham Park Multi Academy Trust. Portable media devices include, but are not limited to USB sticks or memory cards.

- Connection of non Finham Park Multi Academy Trust -supplied removable media devices to the Finham Park Multi Academy Trust computing infrastructure is only permitted for the purpose of reading files from the device; Finham Park Multi Academy Trust files must not be written to a non Finham Park Multi Academy Trust -supplied device.
- Staff must not alter or disable any controls applied to any computing device by Finham Park Multi Academy Trust IT Service as part of the deployment of a removable media device.



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- Removable media devices must not be used for the primary long-term storage of Finham Park Multi Academy Trust information.
- All information classified as Finham Park Multi Academy Trust 'confidential' or 'personal' that is stored on a removable media device must be encrypted.
- Passwords applied to encrypted devices must conform to the minimum standard required stated in section 3.2 Electronic Information of this Policy.

8. Anti-Malware

The purpose of this section is to establish requirements, which must be met by all devices within Finham Park Multi Academy Trust's computing infrastructure, to protect the confidentiality, integrity and availability of Finham Park Multi Academy Trust software and information assets from the effects of malware.

- Unless undertaken by or following instruction from IT support staff, staff must not disable anti-malware software running on, or prevent updates being applied to devices.
- The intentional introduction of viruses to Finham Park Multi Academy Trust's computing infrastructure will be regarded as a serious disciplinary matter.
- Only software that has been authorised by Finham Park Multi Academy Trust can be installed upon Finham Park Multi Academy Trust systems.
- Each member of staff is responsible for immediately reporting any abnormal behaviour of Finham Park Multi Academy Trust computing systems to the Finham Park Multi Academy Trust IT help desk.
- Prior to any encryption, all files must be scanned for and cleaned of viruses before being sent to any third party.

9. Access Control

- Access to information shall be restricted to users who have an authorised need to access the information.
- Users of information will have no more access privileges than necessary to be able to fulfil their role.
- All requests for access to Finham Park Multi Academy computer systems must be via a formal request to your line manager/IT team.
- Finham Park Multi Academy Trust reserves the right to revoke access to any or all of its computer systems at any time.
- Users must not circumvent the permissions granted to their accounts in order to gain unauthorised access to information resources.



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- Users must not allow anyone else to use their account, or use their computers while logged in with their account.
- Computer screens should be 'locked' or the user logged out before leaving any workstation or device unattended.
- Users should not leave workstations or devices in 'sleep mode' for convenience. This also applies to laptops, where users simply close the lid and do not correctly shut it down first.

10. Monitoring System Access and Use

The purpose of this section is to establish control requirements for the monitoring and logging of information security related events relating to the use of Finham Park Multi Academy's information and information systems.

An audit trail of system access and staff data use shall be maintained and reviewed on a regular basis. Finham Park Multi Academy Trust will put in place routines to regularly audit compliance with this and other policies. In addition, it reserves the right to monitor activity where it suspects that there has been a breach of policy.

Any monitoring will be undertaken in accordance with the Human Rights Act and any other applicable law.

11. Potential breaches of security or confidentiality

If you believe that your school account, email or school data has been comprised in any way as a result of a data breach or if you believe you have been a victim of an email or website phishing scam. Please report this immediately without delay to your line manager, full detail of the event can then also be provided to MAT IT Services to investigate further.

If a school device is lost as a result of theft or misplacement, please contact the Head of MAT IT services immediately, and provide further information in regards to the specific circumstances and what data was stored on the device.

If you are aware of any security vulnerabilities, potential incident(s), or have information in regards to someone having unauthorised access to paper based or electronic data, or even if you are not completely sure whether the issue is a security breach, this must be reported to your line manager for review in order to ensure we can protect the integrity of school data.



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Written By Rachel Canning

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Next review date

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Approved by Trustees:

Signed:

MARK BAILIE

Executive Headteacher

Date: 11 June 2024

Signed:

PETER BURNS MBE

Chair of Board of Trustees

Date: 11 June 2024